

# Convention on Cluster Munitions

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**Seventh Meeting of States Parties****Geneva, 4–6 September 2017**

Item 8(j) of the provisional agenda

**Review of the status and operation of the Convention and other matters  
important for achieving the aims of the Convention****Implementation support**

## Convention on Cluster Munitions Implementation Support Unit

### 2016 Annual Report

**Submitted by the Director of the Implementation Support  
Unit**

#### Summary

1. At the First Review Conference (1RC) of the Convention on Cluster Munitions (CCM), the Implementation Support Unit's 2016 to 2020 work Plan and budget was approved by the States Parties to the Convention. Thus, the 2016 Implementation Support Unit (ISU) work plan and budget was derived from this previously sanctioned five year work plan.
2. This Report covers the period from 1 January 2016 to 31 December 2016 which aligns it with the period covered by the financial audit reports of the CCM Implementation Support Unit Trust Fund accounts. Further, it outlines the key objectives and outcomes for the period under review.

#### Key Objectives of the ISU 2016 Work Plan

3. The Implementation Support Unit's 2016 work plan was based on the principal objective of the ISU which is to support the States Parties to the CCM on the implementation of the Convention during the period under review and in accordance with the decisions taken by the States Parties at the 1RC and pursuant to the priorities established by the States Parties through the Dubrovnik Action Plan. In keeping with its mandate, the ISU endeavoured to:

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- Provide support to all States Parties through the Convention's implementation machinery and Coordination Committee<sup>1</sup>, as well as to the Sponsorship Programme;
- Provide advice and technical support to individual States Parties through relevant expertise and best practices on the implementation of the Convention;
- Prepare for and keep records of formal and informal meetings under the Convention and other relevant knowledge products, expertise and information pertaining to the implementation of the Convention;
- Facilitate communication amongst States Parties and other relevant actors, cooperate and coordinate amongst these and maintain public relations including efforts to promote universalization and other work of the Convention;
- Serve as an interface between the States Parties and the international community on issues related to the implementation of the CCM.

## **Key Achievements**

4. The Implementation Support Unit met all of its main objectives in the 2016 work plan. In accordance with its primary function, the ISU provided the administrative and substantive support necessary for the Convention's President and Coordination Committee members to effectively carry out their mandates in facilitating the implementation of the Convention's objectives as outlined in the Dubrovnik Action Plan (DAP). This included support to the President of the Sixth Meeting of States Parties (6MSP) to the CCM, the Netherlands, thereby contributing to the successful hosting of the meeting which was held in Geneva from 5 to 7 September 2016.

5. In fulfilling its mandate to communicate the work of the Convention and raise its profile, the ISU produced quarterly reports which were circulated electronically to a wide range of recipients and also made available on the CCM website together with other printed publications.

6. Additionally, the ISU collaborated with the Geneva International Centre for Humanitarian Demining (GICHD) on the publication of the 3<sup>rd</sup> edition of "A Guide to Cluster Munitions". The Dubrovnik Action Plan was printed and circulated to all 6MSP participants and made available in downloadable form on the Convention's website.

7. Administratively, the ISU was fully staffed for most of the review period with the recruitment of the Implementation Support Specialist augmented by either paid part-time temporary staff or unpaid interns in the interim period.

## **Financial Report**

8. The approved budget for the year 2016 according to the decision taken at the 1RC in Dubrovnik, Croatia amounted to CHF 471'762. At the beginning of the year, the CCM ISU Trust Fund bank account had an opening balance of CHF 355'783 carried over from voluntary contributions made by States Parties between 2013 and 2015 and which were earmarked for the establishment of the ISU. This carryover was also as a result of underspending during 2015 by the ISU due to deferred staff recruitments.

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<sup>1</sup> States Parties participating in the Coordination Committee during the period under review included Australia, Austria, Bosnia and Herzegovina, Chile, Costa Rica, Croatia, Czech Republic, Ecuador, France, Germany, Italy, Iraq, Mexico, Netherlands, New Zealand, Norway, Switzerland and Zambia.

9. Total financial contributions made by States Parties in 2016 to the CCM ISU Trust Fund amounted to CHF 477'379 while the total amount spent on implementing the core mandate of the ISU was approximately CHF 370'824.

10. The Financial Procedures on the financing of the Implementation Support Unit were implemented with effect from 1 January 2016. In implementing these procedures, the ISU supported the Presidency in the preparation of the schedule of indicative contributions spreadsheets and in conveying the related notifications to all States Parties. The ISU also provided, through the Presidency, regular updates to States Parties on the prevailing financial position of the ISU Trust Fund.

11. The Audit Reports dated 11 May 2017 covering the CCM Implementation Support Unit Trust Fund and the CCM Sponsorship Programme financial reports were reviewed by external auditors, Deloitte SA, and deemed to be in compliance with Swiss Law.

12. As at 31 December 2016, the available funds in the CCM ISU Trust Fund amounted to CHF 417'256 while the CCM ISU Sponsorship Programme Fund account had a balance of CHF 17'600.

13. In accordance with the agreement between CCM States Parties and the GICHD, the ISU continued to benefit from the administrative, logistical and infrastructural services provided to it by the GICHD.

## **2016 Report on ISU-CCM Activities**

### **Mandate and Priorities of the Implementation Support Unit**

14. In accordance with the Beirut Decision, the Implementation Support Unit (ISU) is mandated to support States Parties, inter alia, in the following ways: assist the President in all aspects of the Presidency, support the Coordinators in their efforts to prepare, support and follow-up from formal and informal meetings of the Convention; offer advice and support to States Parties on the implementation of the Convention; develop and maintain a resource base of relevant technical expertise and practices and, upon request, provide States Parties with such resources; facilitate communication among States Parties and other relevant actors, cooperate and coordinate amongst these and maintain public relations, including efforts to promote the universalization of the Convention; keep records of formal and informal meetings under the Convention and other relevant knowledge products, expertise and information pertaining to the implementation of the Convention; organize the set-up of a sponsorship programme assisted by the GICHD and provide guidance, input and support to the programme.

15. In fulfilling its mandate, the ISU established its priorities based on decisions taken at the First Review Conference (1RC). At the 1RC, States Parties adopted the Dubrovnik Action Plan which seeks to carry forward the aim of ensuring effective implementation of the provisions of the CCM from the First to the Second Review Conference of the Convention in 2020.

16. As such, the five-year (2016-2020) work plan of the ISU approved at the 1RC was based on the priorities established by States Parties and other implementation actors as outlined in the DAP to serve as a tool to monitor progress in the execution of the Convention's key result areas. Some of the actions are aimed at ensuring timely implementation of State Party commitments under the Convention particularly given that in the period between the First and Second Review Conferences many States Parties will face their respective legally stipulated deadlines on stockpile destruction and clearance of cluster munition remnants in affected areas.

**I. Provide support to all States Parties through the Convention's implementation machinery and office holders, as well as to the Sponsorship Programme and the thematic working groups**

17. The Netherlands, as the President of the 6<sup>th</sup> Meeting of States Parties (6MSP) to the CCM took up leadership of the implementation of the Convention on 1 January 2016 in line with the decision taken at the 1<sup>st</sup> Review Conference in 2015 regarding the revised Convention architecture. In this regard, the ISU delivered substantive support to the 6MSP President in all aspects of the work of the Convention to facilitate the effective leading of its work by the President, including preparing and convening formal and informal meetings, and providing implementation status updates as well as analyses to support the work of States Parties. This included the provision of secretarial services at seven (7) Coordination Committee meetings and three (3) other informal meetings convened by the Dutch President.

18. The ISU supported and participated in two meetings convened by the 6MSP President on 20 April 2016 to explore various options to promote the effective and efficient implementation of the Convention at the national, regional and global level. The first consultative meeting was held with a group of leading mine action operators while the second was with a group of civil society representatives.

19. The ISU assisted the 6MSP President with the preparations, supported and carried out follow up activities associated with the meeting jointly hosted by the Presidency and the Cluster Munition Coalition on *Universalization and Strengthening of the Norm against their use* held on 17 May 2016.

20. The ISU also gave support to the thematic Coordinators in their efforts to accomplish their mandates. This included providing them with the relevant information to assist with the discharging of their mandate to coordinate work associated with and derived from the Convention's Dubrovnik Action Plan as well from other informal meetings of the Convention. Furthermore, the ISU assisted the presidency on the preparation of the Annual Progress report to be reviewed at the 6MSP. The support of the ISU resulted in the Coordination Committee members possessing the necessary tools to perform their functions and conduct the relevant follow up actions in accordance with the Dubrovnik Action Plan.

21. During the period under review, the ISU also prepared for and provided substantive support at the three Coordination Committee meetings chaired by 7<sup>th</sup> Meeting of States Parties (7MSP) to the CCM presidency, Germany. Germany took over leadership of the implementation of the CCM immediately after the closure of the 6MSP on 7 September 2016. Germany's term of office will end immediately after the closure of the 7MSP in September 2017.

22. Specifically, the ISU provided assistance to the Coordination Committee in the following ways:

- *Support on Universalization:* While the ISU does not engage in direct advocacy, in accordance with its Mandate, it supported the Coordinators through the analysis of Article 7 Reports and providing regular updates and other relevant information. The ISU also facilitated communication between the Coordinators and targeted States and organisations. This included collaborating with the African Union on a joint African Regional workshop held in Addis Ababa to promote universalization and national implementation measures on the continent. Thereafter, the ISU promoted the event and its outcomes on the CCM website and social media platforms. The ISU also provided information and clarification to States Not Party upon request.
- *Support on Stockpile Destruction:* The ISU provided administrative support to the Coordinators through the analysis of Article 7 Reports and by facilitating communication with targeted States.

- *Support on Clearance and Risk Reduction Education:* In supporting the Coordinators of the Working Group, the ISU provided administrative support to the coordinators through the analysis of Article 7 Reports.
- *Support on Victim Assistance:* The ISU provided support to the Coordinators through the analysis of Article 7 Reports and with updates on the implementation of Article 5. The ISU also assisted in facilitating communication between the Coordinators and targeted States and organisations participating in the *Workshop on an Integrated Approach to Victim Assistance in the context of Development, Human Rights and Humanitarian Initiatives* which was held in May 2016. In the period prior to and after of the successful workshop, the ISU promoted the event and its outcomes on the CCM website and social media platforms.

23. In a collaborative effort with the APMBC Victim Assistance Committee, the Coordinators launched a publication titled *Guidance on an Integrated Approach to Victim Assistance* at a side event during the APMBC 15MSP held in December 2016 in Santiago, Chile. The publication was developed with the technical assistance of Handicap International and promoted on the CCM website and other social media channels.

- *Support on International Cooperation and Assistance:* The ISU provided administrative support to the Coordinators through the analysis of Article 7 Reports and updating them accordingly. The ISU also facilitated communication with targeted donor States and organisations.
- *Support on Transparency Measures:* The ISU provided the Coordinator with regular updates and followed up on behalf of the Coordinator, States Parties with overdue national reports. In this regard, the ISU sent twenty-one letters on behalf of the Coordinator to targeted States with overdue initial and annual reports. Administratively, the ISU also provided direct guidance to four (4) States with their initial transparency reporting and to two (2) States Parties with their annual reporting.
- *Support on National Implementation Measures:* The ISU provided the Coordinator with updates based on Article 7 Reports and email communication with States Parties. The ISU also supported the Coordinator in efforts to increase compliance of Article 9 through administrative support to the Workshop for the African region hosted in collaboration with the Coordinators on Universalization and with the participation of the African Union (AU), the Cluster Munition Coalition (CMC) and the International Committee of the Red Cross (ICRC). A total of 17 States participated in the *Africa Regional Workshop on the Universalization of the Convention on Cluster Munitions* which was hosted by New Zealand (CCM Coordinator for National Implementation Measures of the Convention) together with Ecuador and Zambia (CCM Coordinators for universalization of the Convention) and took place in Addis Ababa, Ethiopia, on 4 to 5 August 2016.

24. The purpose of the Regional Workshop was to provide an opportunity for signatory and non-signatory States in Africa to discuss challenges and solutions for joining the Convention on Cluster Munitions. Furthermore, it was anticipated that the workshop would help States take steps towards ratification or accession in the lead up to the 6MSP. Additionally, the workshop represented a key opportunity for the region to take concrete steps towards achieving the goals established in the DAP. The Workshop was financed through the generous contribution of the governments of New Zealand and Switzerland.

## **II. Provide advice and technical support to individual States Parties through the development of a resource base of relevant expertise and practices on the implementation of the Convention**

25. The ISU responded to a number of direct queries put to it by individual States Parties and other States requiring additional information on the Convention. This included the provision of clarification on interpretation of CCM Articles, guidance on where to find the requested information and/or how to contact the appropriate expert institutions or individuals.

## **III. Prepare for and keep records of formal and informal meetings under the Convention and other relevant knowledge products, expertise and information pertaining to the implementation of the Convention**

26. The ISU prepared, supported, kept records of and carried out follow-up activities associated with ten meetings of the Coordination Committee held in 2016. Of these, seven were under the Dutch presidency and three under the German presidency. The ISU also provided background briefings to individual members of the Coordination Committee as well as supported them in the development of thematic action plans and strategies aimed at enhancing the implementation of the DAP and the effective execution of their mandate during the year and up to the 7MSP.

## **IV. Facilitate communication amongst States Parties and their relevant actors, cooperate and coordinate amongst these and maintain public relations including efforts to promote universalization and other work of the Convention**

27. During the period under review, the ISU on a regular basis communicated statements by the Presidency on the condemnation of the use of cluster munitions on the Convention website and other media fora. This included facilitating the circulation to all States of the CCM Pledge to promote universalization, compliance and adherence, and to reinforce the norms being established by the Convention. States were invited to join the online Pledge at the United Nations convened World Humanitarian Summit held in Istanbul, Turkey from 23 to 24 May 2016.

28. In addition, the ISU delivered presentations at various workshops, seminars and to visiting students of higher institutions of learning with the intention of further raising interest while disseminating understanding of the Convention regarding the obligations and implementation status of the Convention, including sharing lessons learnt and key challenges on implementing the Convention. These included events held in Geneva.

29. In addition, the ISU participated at a seminar held at RACVIAC in Ratikje, Croatia on the *Implementation of the CCM* on 14 to 15 November 2016 and from 6 to 7 December 2016 at an African Union consultative meeting on Enhancing assistance and coordination in the implementing of Article 5 of the APMBC and Article 4 of the CCM in Addis Ababa.

30. The ISU continued to administer and maintain the official website of the Convention and provided information about the Convention on a regular basis. Additionally, it also produced Convention related publications and other promotional materials to further establish the CCM brand and to provide practical tools to stakeholders and others seeking to understand the Convention better.

31. In collaboration with the GICHD, the ISU published an updated “*A Guide to Cluster Munitions 3<sup>rd</sup> Edition*”. This timely publication came 7 years after the 2<sup>nd</sup> Edition was produced by the GICHD and 6 years after entry into force of the Convention. This publication provides the reader current information on the Convention’s key obligations

such as stockpile destruction and cluster munitions clearance. It features comprehensive descriptions and illustrations of the types of cluster munitions in contaminated areas. It also explores good practices in risk education and victim assistance, as well as other critical elements such as information management systems and gender and diversity. In particular, the third edition is useful for new States Parties, or States considering accession to the Convention, who may be seeking further information, including specific responsibilities of State Parties. For those requiring more in depth information or technical data, this edition also provides several valuable links to resource websites.

32. The *Guidance on an Integrated Approach to Victim Assistance* developed by CCM Coordinators on Victim Assistance was uploaded on the Convention website alongside all other CCM publications.

33. The ISU also produced a print version of the DAP which is the main guidance document in the implementation of the Convention for States Parties up to 2<sup>nd</sup> Review Conference in 2020. This was in addition to an electronic version of the CCM quarterly Report highlighting key events during the preceding quarter.

|  | <i>Print<br/>Version</i> | <i>Electronic Version</i> | <i>Costs covered by<br/>ISU funds</i> | <i>Costs covered by<br/>other funds</i> |
|--|--------------------------|---------------------------|---------------------------------------|---|
| A Guide to Cluster Munitions 3 <sup>rd</sup> Edition |                          |                           | -                                     |   |
| Dubrovnik Action Plan Booklet                        |                          |                           |                                       |   |
| CCM Quarterly Report                                 |                          |                           | n/a                                   |   |
| CCM Logo Roll-up                                     |                          |                           |                                       |   |
| CCM Flags (floor and table)                          |                          |                           |                                       |   |
| <b>TOTAL COSTS</b>                                   |                          |                           | <b>CHF 5'512</b>                      | <b>CHF 23'774</b>                       |

### **Support of the Geneva International Centre for Humanitarian Demining (GICHD)**

34. In accordance with the 2014 ISU Hosting Agreement between the CCM States Parties and the GICHD, the ISU was provided logistical and administrative support for an amount estimated at CHF 95'000. This amount covered costs related to office occupancy, office supplies, IT network maintenance, software acquisition, human resources management, travel services, hosting management of the CCM website, management of contribution agreements and service contracts and financial management, monitoring and controlling. Additionally, the GICHD administers the sponsorship programme on behalf of the ISU. In 2016, the sponsorship of six state delegates was facilitated.

35. During the year, the GICHD worked closely with the ISU in its support to the CCM Presidency on the preparation of the schedule of indicative contributions of States Parties and in monitoring and updating the records regarding the contributions of States Parties.

36. The GICHD also assisted with the administration of the sponsorship programmes related to a number of ISU facilitated workshops. These included the May 2016 victim assistance workshop (including infrastructure provision) held in Geneva, the August 2016 CCM African Regional workshop held in Addis Ababa and the September 2016 6MSP also held in Geneva. The GICHD in addition availed a number of its Junior Programme Officers to augment the CCM staff during the three day 6MSP which contributed to the successful hosting of the meeting.

### **Implementation Support Unit 2016 Financial Report**

37. The ISU Trust Fund account had an opening balance of approximately CHF 355'783 in January 2016 which was carried over from 2015 and was an accumulation of funds from voluntary contributions made by States Parties between 2013 and 2015.

38. At the IRC, State Parties agreed to adopt the Financial Procedures on the financing of the Implementation Support Unit that would be centered on the principles of sustainability, predictability and ownership. On this basis, the financing would be calculated at 40% of the ISU budget as an assessed contribution and 60% based on voluntary contributions. These procedures took effect in January 2016.

39. As at 31 December 2016, only 33 out of 100 States Parties had met their obligations and had contributed a total of CHF 477'379. This amount was slightly more than the approved ISU budget of CHF 471'762 for 2016. The surplus contributions were carried

over into 2017 to ensure that the ISU continued to undertake its activities without interruption. Total expenditure for the year 2016 was CHF 370'824. The main reason for the underspending was due to the deferred recruitment of the ISU's third member of staff to early 2017. The CCM ISU Trust Fund account had a positive balance of CHF 417'256 on 31 December 2016.

40. The CCM ISU Working Capital Reserve fund had a balance of CHF 6'959 on 31 December 2016 contributed by four States Parties (New Zealand, Saint Kitts and Nevis, Switzerland and Zambia).

### 2016 Approved versus Actual Budget

| <i>Cost</i>                        | <i>Budget 2016</i>             | <i>Actual Expenditure</i> | <i>NOTES</i>   |
|------------------------------------|--------------------------------|---------------------------|--|
| Salaries                           | 355'011                        | 245'668                   | The Director and Program Specialist working 100% supported by a Program Implementation Assistant working 50%. 2016 costs also include relocation costs for new staff |
| Social charges                     | 59'751                         | 46'051                    | At 20% of annual salary includes mandatory accident and travel insurance   |
| Communication                      | 15'000                         | 5'512                     | Website development, promotional material, publications, consultancies, etc.   |
| Travel                             | 30'000                         | 3'626                     | Participate in Convention meetings plus staff travel on missions to States Parties. Average of three trips per year in economy class.                                |
| Other Implementation Support Costs | 12'000                         | 69'967                    | Consultancies, room rentals, workshops, catering, etc.   |
| TOTAL                              | 471'762                        | 370'824                   |  |
| Administrative expenses            | <i>GICHD</i><br><i>IN-KIND</i> | 95 000                    | Covers costs such as rent of office, internal control system, administration of sponsorship programme, HR management, etc.   |

### Salaries and Social Charges

41. The ISU-CCM staff structure was increased in May 2016 to two (2) full time staff with the recruitment of the Implementation Support Specialist. The total spent on this budget line was CHF 291'719 broken down as CHF 245'668 which covered salaries and CHF 46'051 on the associated social costs for 2 staff. The ISU structure is for 2.5 staff but the recruitment of the 0.5 staffer was deferred to the first quarter of 2017 to ensure that sufficient funds were available for the position before proceeding with filling the vacancy.

### **Staff Relocation**

42. The total ISU budget for staff relocation was CHF 20'000. The actual cost incurred under this budget line amounted to CHF 15'608 and was spent to facilitate the relocation and settlement of the Implementation Support Specialist and his family from Bogota, Colombia to Geneva, Switzerland.

### **Communication**

43. The ISU spent CHF 5'512 on implementing its mandate to administer and maintain the official website of the Convention ([www.clusterconvention.org](http://www.clusterconvention.org)); provide information about the Convention; facilitate communication among States Parties, and with all relevant other actors cooperate and coordinate with relevant actors and maintain public relations; produce Convention related publications and other promotional materials as necessary. In this regard, in the year under review the ISU spent CHF 1'125 to pay for a communications consultant to provide enhanced visibility to the Convention and additional publicity of the 6MSP. In addition, the ISU purchased CCM floor and table flags used at various events to further make the CCM brand more recognizable. This was in addition to other CCM materials printed for use at events. Some of the additional costs incurred under this budget item were covered through voluntary contributions of other States Parties.

44. The ISU collaborated with the GICHD on the publication of the 3<sup>rd</sup> Edition of "A Guide to Cluster Munitions". The ISU portion of the project was financed with funds generously provided by the Government of Italy.

### **Staff Travel**

45. Less travel was undertaken by ISU staff during the period under review than anticipated for the year. The majority of the travel costs incurred by the ISU staff were covered by project funds provided by various donors to undertake pre-determined activities. This included travel to the Addis Ababa for the Africa workshop on CCM universalization in August 2016 sponsored by Switzerland and New Zealand, the CCM Symposium organized by RACVIAC – Centre for Security Cooperation in November 2016 and the African Union CCM Implementation Workshop sponsored by the African Union in December 2016. The travel costs covered by the ISU were related to some minimal incidental travel expenses for the ISU staff to provide logistical and technical support to some of the various workshops held.

### **Other Implementation Support Costs**

46. The amount spent from the ISU budget on other implementation costs amounted to CHF 12'000 out of the total sum of CHF 37'461. The excess funds were from extra-budgetary funding by a number of donors. This included the Universalization and National Implementation Measures workshop held in Addis Ababa and sponsored by New Zealand and Switzerland.

47. The ISU participated in a number of Geneva-based workshops and made presentations on the Convention to several academic and other institutions. These included participation in the two day CCM African Workshop held in Addis Ababa, Ethiopia, a CCM symposium in Croatia in November 2016 and an African Union Workshop in Addis Ababa in December 2016.

48. The ISU was also invited to make presentations on the CCM to academics and young disarmament professionals including to the annual UN Disarmament Fellowship Programme participants, students of the Belgian International Cooperation (higher school)

and in International Public management (master's degree) and students of the Northeastern University in the USA.

### Expenditures and Revenue Statement (CHF) of the Trust Fund ISU CCM

49. Extracted from the 2016 Audit Report

|   | <i>Account 2016</i> | <i>Account 2015</i> |
|---|---------------------|---------------------|
| <b>Expenditures</b>                                     |                     |                     |
| Salaries  | 245 668             | 134 590             |
| Social costs  | 46 051              | 22 874              |
| Staff travel  | 3 626               | 16 569              |
| Implementation support activities                       | 75 479              | 25 974              |
| <b>Total expenditures</b>                               | <b>370 824</b>      | <b>200 007</b>      |
| <b>Revenues</b>   |                     |                     |
| Total contributions                                     | 439 221             | 290 827             |
| Misc. income  | 35                  | 30                  |
| Activities/contributions deferred from previous year    | 355 783             | 264 933             |
| <b>Total revenues</b>                                   | <b>795 039</b>      | <b>555 790</b>      |
| <i>Activities / contributions deferred to next year</i> | <i>417 256</i>      | <i>355 783</i>      |

### CCM ISU Working Capital Reserve

50. At the 1st Review Conference held in Dubrovnik, Croatia, States Parties agreed to the establishment of a working capital reserve as part of the financial procedures on the financing of the ISU-CCM. In 2016, only 4 States Parties contributed to the fund.

| <i>Contributions to the Working Capital Reserve</i> |                     | <i>2016</i>  | <i>2015</i> |
|---|---------------------|--------------|-------------|
| Contributions                                       | New Zealand         | 3 874        | -           |
|   | Saint Kitts & Nevis | 70           | -           |
|   | Switzerland         | 2 714        | -           |
|   | Zambia              | 301          | -           |
| <b>Total contributions</b>                          |                     | <b>6 959</b> | <b>-</b>    |

## CCM Sponsorship Programme Trust Fund

51. A broad-based participation at the Meetings of States Parties or at Review Conferences of the Convention is crucial. In this regard, the Implementation Support Unit is mandated to organise the set-up of a sponsorship programme assisted by the host State and to provide guidance, input and support to the programme. The purpose of the Sponsorship Programme is to facilitate a broad-based and diverse participation of both affected and non-affected States parties as well as of all other interested States which is necessary to further promote the objectives of the Convention.

52. During the period under review, only three States (Australia, Croatia and New Zealand) contributed to the CCM Sponsorship Programme account the amount of CHF 26'589 to facilitate the participation of low-income States at the 6MSP in September 2016. This was in addition to the CHF 6'501 carried over from 2015. The Sponsorship Programme therefore was able to cover the travel and accommodation costs of six (6) delegates from six (6) States. Of these, three (3) were representatives of States Parties and the other three (3) representatives of Signatory States. No representatives of States Not Party were sponsored during the period under review. The total amount spent amounted to CHF 15'489. Funds was made available to three (3) other States but which either did not respond or responded late to the offer for sponsorship to participate in the 6MSP. As a result, the Sponsorship Programme Trust Fund account had a positive balance of CHF 17'600 at 31 December 2016.

### Expenditures and Revenue Statement (CHF) of the Trust Fund CCM Sponsorship Programme

53. Extracted from the 2016 Audit Report

|              |                    | <i>Account 2016</i> | <i>Account 2015</i> |
|--------------|--------------------|---------------------|---------------------|
| EXPENDITURES | Travel             | 8 890               | 40 628              |
|              | Accommodation      | 4 747               | 29 524              |
|              | Per diems          | 1 767               | 9 691               |
|              | Miscellaneous      | 86                  | 100                 |
|              | Total expenditures | 15 489              | 79 943              |

| <i>REVENUES</i>   |             | <i>2016</i>   | <i>2015</i>  |
|---|-------------|---------------|--------------|
| Contributions   | Australia   | 11 206        |              |
|   | Austria     | -             | 15 387       |
|   | Croatia     | 500           |              |
|   | Ireland     | -             | 36 178       |
|   | New Zealand | 14 883        |              |
|   | Norway      | -             | 34 859       |
| Total contributions                                     |             | 26 589        | 86.424       |
| Misc. income  |             |               | 20           |
| Activities /contributions deferred from previous year   |             | 6 501         |              |
| Total revenues  |             | 33 089        | 86 443       |
| <i>Activities / contributions deferred to next year</i> |             | <i>17 600</i> | <i>6 501</i> |