



*Permanent Mission of the Republic of Iraq
to the United Nations Office in Geneva*



CCM TRANSPARENCY MEASURES CONCEPT NOTE

Enhancing Implementation of Action 6 of the Dubrovnik Action Plan (Transparency Measures) up to the 2nd Review Conference

1. Background to Work Plan Context

The Convention on Cluster Munitions (CCM) is a legally binding international treaty that comprehensively prohibits the use, production, stockpiling and transfer of cluster munitions. Cluster Munitions are unacceptable for two main reasons: - firstly, they have wide area effects and are unable to distinguish between civilians and combatants and secondly, their use leaves behind large numbers of unexploded ordnance which continue to kill, injure and maim civilians, obstruct economic and social development for years after use.

To guide States Parties effectively implement the Convention during the period 1 January 2016 to 31 December 2020, States agreed to the five year Dubrovnik Action Plan (DAP) at the First Review Conference of States Party to the CCM. The DAP elaborates the priorities established by the States Parties through the various actions to be undertaken and the expected key results to be derived from these actions. Transparency Reporting on implementation of the CCM is the DAP's Action 6 and will provide the primary basis on which the outlined objectives of this concept note's activities during the period from the 9th Meeting of States Parties (9MSP) in September 2019 to the Second Review Conference (2RC) in November 2020 will be derived.

The purpose of Action 6 of the Dubrovnik Action Plan (DAP) is to encourage States parties to fulfill their reporting obligations in time, both initially and annually. It further stipulates the main actions that States have to undertake to make practical use of reporting both at formal and informal fora and that these actions will by the 2nd Review Conference of the Convention in 2020 have resulted in an increase in the

use of the reporting template as well as in the rate of timely submissions of Article 7 reports that are of improved quality.

As of 31st December 2019, **08** States parties had still not submitted their initial transparency reports while only **1** new State Party, the Maldives, has an initial report due in 2020.

2. Objectives

- Increase number of States Parties to have submitted their initial and annual Article 7 reports by the 2nd Review Conference;
- increase rate of timely submission of the annual reports in accordance with DAP Action 6.1;
- Increase practical use of reporting in accordance with Action 6.2.

3. Strategy

- Write to Gambia by 28 October 2019 and to Philippines by 28 November 2019 to remind of their initial report due dates; and write the Maldives two letters, one by 28 October 2019 informing them of the obligations under Article 7 and offer assistance in preparing the report, and the second by July 2020 to remind of the imminent initial report due date.
- Reach out to all States Parties with overdue initial transparency reports and request that these are submitted at least by 31 December 2019;
- Follow up with States Parties through bilateral meetings (in capitals, Geneva and New York in the margins of other disarmament meetings) to whom letters were sent during the coordination period;
- Work in partnership with the Coordinator on National Implementation Measures to include a paragraph on Article 9 in the reminder letters to the 3 new States Parties;
- Cooperate with other thematic coordinators and request them to remind States Parties they are following up on to submit timely reports;
- Continue outreach to heads of disarmament departments in target States as well as to national disarmament reporting focal points;
- Collaborate with national and international civil society to encourage timely reporting
- Increase awareness of the benefits of reporting through the use of existing social media platforms and other disarmament meetings in collaboration with other thematic coordinators;
- Send reminders for submission of the 2019 reports in advance of 28 February 2020.

- In collaboration with the ISU create a brochure providing guidance on Article 7 of the Convention

4. Expected Outputs

- At least five (05) out of the eight (08) States Parties have submitted their overdue initial report by the 2nd Review Conference;
- The three newest States Parties submit their initial reports on time;
- That the rate of timely submission of annual reports will have increased from the previous year;
- More CCM States Parties have used the annual reports to provide qualitative information and share best practices.

5. Estimated Budget

- Minimal cost as most support will be via email or telephone and face to face meetings.
- Limited cost for printing the brochure as it will be available on the CCM website in pdf downloadable format

6. Strategy Collaborators

- Iraq - Coordinator on Transparency Reporting;
- All CCM thematic coordinators
- CCM Implementation Support Unit

7. Other Strategic Partners

- Geneva Mission and New York delegations of the target States
- National reporting focal points
- Civil Society
- Other international stakeholder organisations
- UNODA