CCM TRANSPARENCY MEASURES CONCEPT NOTE

Enhancing Implementation of Actions 43 to 46 of the Lausanne Action Plan up to the 10th Meeting of States Parties

1. **Background to Work Plan Context**

In accordance with Article 7 of the Convention on Cluster Munitions, States Parties are obligated to report to the United Nations Secretary-General no later than 180 days after entry into force of the Convention through an initial Transparency Report and on an annual basis by 30 April each year thereafter. States Parties are required to report on all aspects related to the status of their implementation of the Convention.

States Parties are cognisant of the importance of reporting in implementing the Convention and encourage the submission not only of timely but also high-quality reports. States Parties continue to promote reporting as a key tool in accessing international cooperation and assistance by States with obligations or for providing such support to those States in need of assistance. Furthermore, regular and quality reporting supports clear evaluation of the implementation of the Convention while also building confidence and enhancing the exchange of information amongst States Parties.

To guide States Parties effectively implement the Convention during the period 1 January 2021 to 31 December 2026, States agreed to the five-year Lausanne Action Plan at the Second Review Conference of States Party to the CCM. The Lausanne Action Plan elaborates the priorities established by the States Parties through the various actions to be undertaken and the expected key results to be derived from these actions. Transparency Reporting on implementation of the CCM is covered by Actions 43 to 46 of Lausanne Action Plan and will provide the primary basis on which the outlined objectives of this concept note’s activities will be derived.

The purpose of Actions 43 to 46 of the Lausanne Action Plan is to encourage States Parties fulfill their reporting obligations in time, both initially and annually. The actions outline the measures States Parties will take in the preparation or compilation of their Article 7 reports and offers assistance in the reporting with support from relevant partners, including from States Parties, the ISU, UN agencies or other NGOs.
As of 26th October 2021, 9 States parties have still not submitted their initial transparency reports while only 63 States Parties have submitted their 2020 annual reports. One State not Party submitted a voluntary transparency report.

2. Objectives

- Facilitate an increased number of States Parties submitting their initial and annual Article 7 reports by the 10th Meeting of States Parties in accordance with Action 43 of Lausanne Action Plan.

- With the assistance of the President, and in collaboration with the respective thematic coordinators, engage with the States Parties that have obligations under Article 3 or 4 of the Convention or that retain or transfer cluster munitions in line with Article 3.6 and 3.7 and have not provided information about their progress in implementing these obligations for two consecutive years.

- Assist in the adaptation of CCM transparency reporting forms taking into consideration the elements articulated in the Lausanne Action Plan. The adapted reporting form will be developed under the guidance of the Presidency and will be considered at the Tenth Meeting of States Parties in accordance with Action 45 of Lausanne Action Plan.

3. Strategy

- Reach out and offer assistance to all States Parties with overdue initial transparency reports and request that these are submitted as soon as possible.

- Follow up with States Parties to whom letters were sent in the previous period through bilateral meetings (Intersessional meeting of CCM, in capitals, Geneva and New York in the margins of other disarmament meetings).

- Cooperate with other thematic coordinators and request them to remind States Parties they are following up on to submit timely reports.

- Continue outreach to heads of disarmament departments in target States as well as to national disarmament reporting focal points.

- Collaborate with national and international civil society to encourage timely reporting.

- Increase awareness of the benefits of reporting through the use of existing social media platforms and other disarmament meetings in collaboration with other thematic coordinators.

- Send reminders for submission of the 2021 reports by 28 February 2022.
4. **Expected Outputs**

- At least three (3) out of nine (9) States Parties have submitted their overdue initial report by the 10MSP.

- The rate of timely submission of annual reports will have increased from the previous year.

- More CCM States Parties have used the annual reports to provide qualitative information and shared best practices.

5. **Estimated Budget**

Minimal cost as most support will be via email or telephone and face to face meetings.

6. **Strategy Collaborators**

- Iraq - Coordinator on Transparency Reporting
- All CCM thematic coordinators
- CCM Implementation Support Unit

7. **Other Strategic Partners**

- Geneva Mission and New York delegations of the target States
- National reporting focal points
- Civil Society
- Other international stakeholder organisations
- UNODA