



The Convention on Cluster Munitions Transparency Measures (Article 7)

Article 7



Initial Transparency Report

Each State Party shall report to the Secretary-General of the United Nations as soon as practicable, and in any event not later than 180 days after the entry into force of this Convention for that State Party, on [...].

Annual Transparency Report

The information provided in accordance with paragraph 1 of this Article shall be updated by the States Parties annually, covering the previous calendar year, and reported to the Secretary-General of the United Nations not later than 30 April of each year.

Initial reporting: WHY



- ✓ Clarifies for which States Parties which **obligations** are relevant
- ✓ Is only **done once** after ratification
- ✓ Is key for establishing the **benchmark** against which progress will be measured
- ✓ Signatory States are also free to submit **voluntary reports** (i.e. DRC)

Initial reporting: WHAT



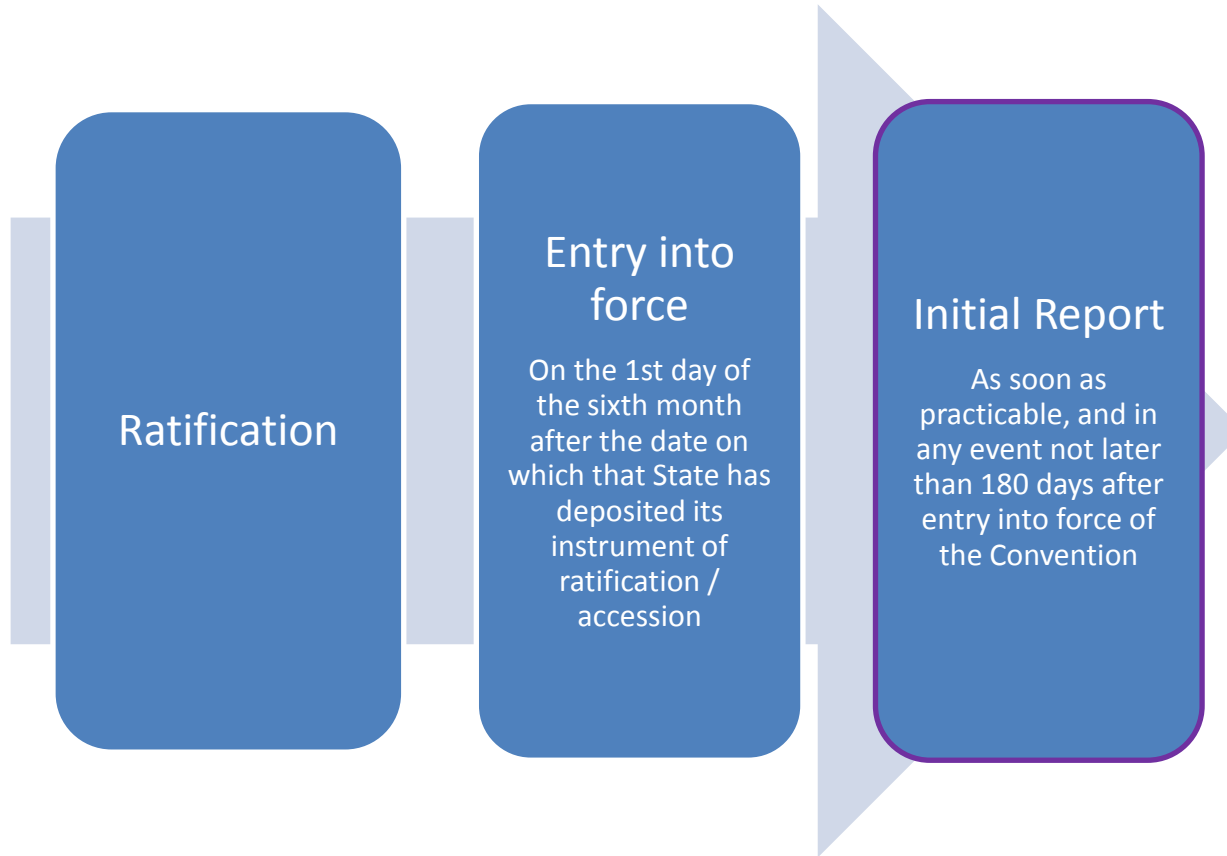
States Parties are obliged to provide information on (Art. 7.1):

- ✓ National implementation Measures
- ✓ Stockpiled cluster munitions
- ✓ Technical characteristics of each type of CM
- ✓ Size and location of the contaminated areas
- ✓ Status and progress of programmes for destruction, clearance, victim assistance
- ✓ Measures taken to provide risk education and effective warning
- ✓ Amount of national resources allocated to the implementation of Art. 3,4 and 5
- ✓ The amounts, types and destinations of international cooperation and assistance provided under Art. 6
- ✓ ...

Transparency reporting: WHEN



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Standard Reporting form

Cover page of the annual article 7 report

Name of State [Party]: REPUBLIC OF GHANA

Reporting period: INITIAL REPORT

- | | |
|---|--|
| <p>Form A: National implementation measures:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> | <p>Form F: Contaminated areas and clearance:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> |
| <p>Form B: Stockpiles and destruction:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> | <p>Form G: Warning to the population and risk education:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> |
| <p>Form C: Technical characteristics of each type produced/owned or possessed:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> | <p>Form H: Victim assistance:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> |
| <p>Form D: Cluster munitions retained and transferred:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> | <p>Form I: National resources and international cooperation and Assistance:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> |
| <p>Form E: Status of conversion programmes:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> | <p>Form J: Other relevant matters:</p> <p><input type="checkbox"/> changed</p> <p><input type="checkbox"/> unchanged (last reporting: yyyy)</p> <p><input type="checkbox"/> non applicable</p> |

Form B Stockpiles and destruction of cluster munitions

Part I: Stockpiled cluster munitions

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (b) The total of all cluster munitions, including explosive submunitions, referred to in paragraph 1 of article 3 of this Convention, to include a breakdown of their type, quantity and, if possible, lot numbers of each type;
- [...]
- (g) Stockpiles of cluster munitions, including explosive submunitions, discovered after reported completion of the programme referred to in subparagraph (e) of this paragraph, and plans for their destruction in accordance with article 3 of this Convention;”

State [Party] REPUBLIC OF GHANA

Reporting for time period from Initial Report

1. The total of all cluster munitions and explosive submunitions, stockpiled under the jurisdiction and control of the State Party

The following table does not include munitions retained for the purpose of training and expertise (in accordance with art. 3, para. 6) reported in form C.

| Cluster munition type | Quantity | Lot number (if possible) | Explosive submunition type | Total quantity of explosive submunitions | Lot number (if possible) | Supplementary information |
|-----------------------|----------|--------------------------|----------------------------|--|--------------------------|---|
| NOT APPLICABLE | | | | | | Ghana does not stockpile cluster munitions and does not intend to acquire any in the future for |

Annual reporting: WHY



- ✓ Demonstrates individual States Parties' ongoing **efforts to fulfil their obligations**
- ✓ Practical **tool for assistance and cooperation** in particular for Art. 3, 4 and 5
- ✓ Indicator of the **overall health** of the Convention

Annual reporting: WHAT

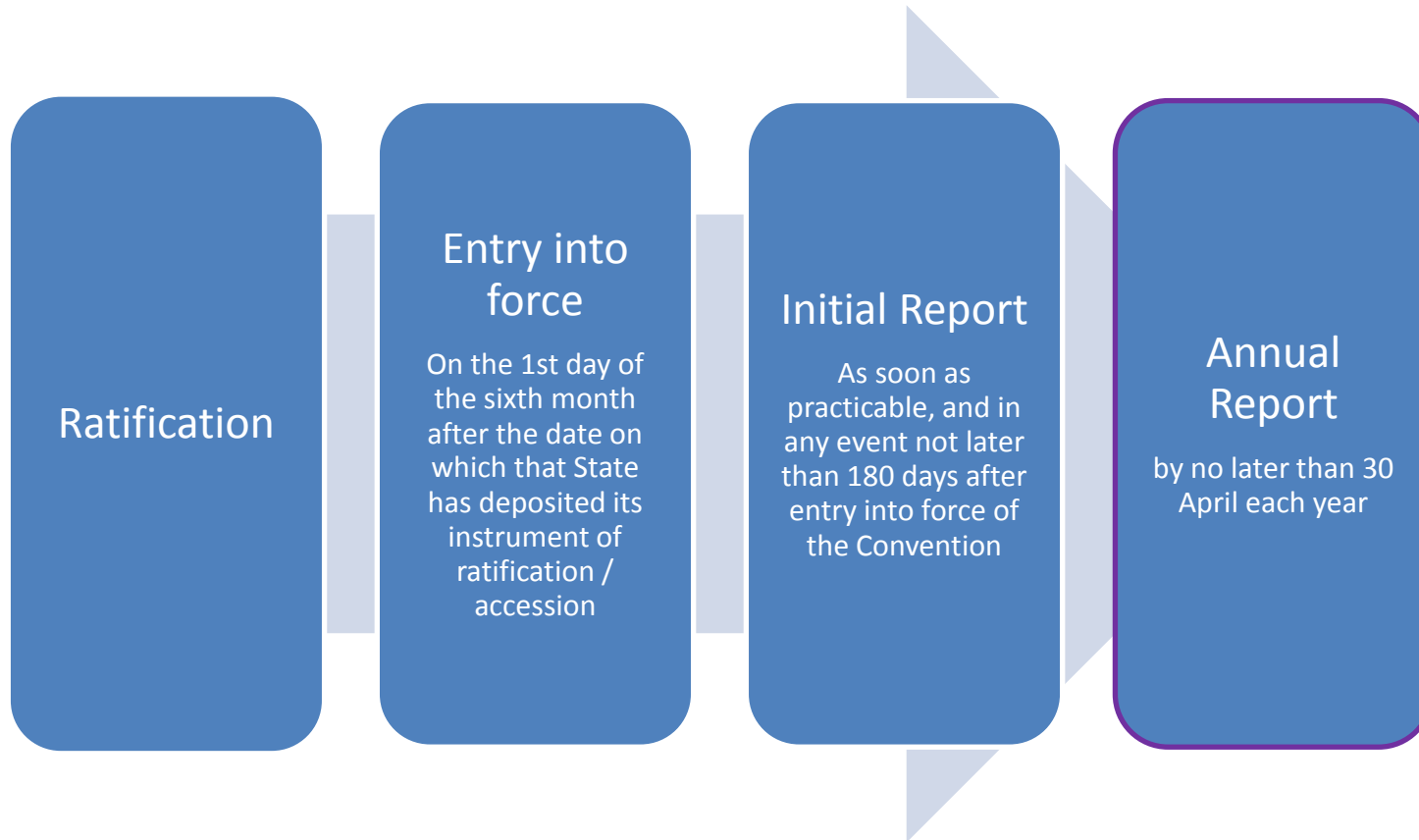


- ✓ Each annual Article 7 report shall **cover the last calendar year** (1 January through 31 December)
- ✓ Each annual Article 7 report provides an **update** on progress made on key obligations, remaining **challenges** and international **cooperation** and/or **assistance** needed or provided
- ✓ Annual reports allow for exchange of information on **good practices**
- ✓ Transparency reports are the main official source of information

Annual reporting: WHEN



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Standard Reporting form

Reporting formats

for Article 7 of the Convention on Cluster Munitions

Cover page¹ of the **annual article 7 report**

Name of State [Party]: The Republic of Botswana

Reporting period: 29 May 2013 to 29 May 2014

(dd/mm/yyyy) 30/04/2014

| | |
|--|---|
| Form A: National implementation measures: <input type="checkbox"/> changed X unchanged (last reporting: 29 May 2012) | Form F: Contaminated areas and clearance: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) X non applicable |
| Form B: Stockpiles and destruction: <input type="checkbox"/> changed X unchanged (last reporting: 29 May 2012) <input type="checkbox"/> non applicable | Form G: Warning to the population and risk education: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) X non applicable |
| Form C: Technical characteristics of each type produced/owned or possessed: <input type="checkbox"/> changed X unchanged (last reporting: 29 May 2012) <input type="checkbox"/> non applicable | Form H: Victim assistance: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) X non applicable |
| Form D: Cluster munitions retained and transferred: <input type="checkbox"/> changed X unchanged (last reporting: 29 May 2012) <input type="checkbox"/> non applicable | Form I: National resources and international cooperation and Assistance: <input type="checkbox"/> changed X unchanged (last reporting: 29 May 2012) <input type="checkbox"/> non applicable |
| Form E: Status of conversion programmes: <input type="checkbox"/> changed | Form J: Other relevant matters: <input type="checkbox"/> changed |

Transparency reporting: Example

| MADAGASCAR | | | |
|-----------------------|----------------------|---|---------------------------|
| Ratification | 20 May 2017 |  | 6 months |
| Entry into force | 1 November 2017 |  | No later than 180 days |
| Initial Reporting due | Before 30 April 2018 | | |

Transparency reporting: HOW



- ✓ Ask for help
- ✓ The **Implementation Support Unit** is mandated to support States Parties
- ✓ We can enable you to make transparency reporting **quick and easy**
- ✓ **Article 7 database** (<http://www.unog.ch/>)

Transparency reporting: TO WHOM



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Article 7 database

Jc

This page contains the database of Article 7 reports.

Article 7 of the Convention on Cluster Munitions provides that the information contained in the report should cover the previous calendar year and be reported to the Secretary-General of the United Nations not later than 30 April of the following year.

The year indicated on top of the column represents the year of submission to the United Nations Secretary-General.

Reports recently submitted (note: the date in brackets indicates the date of receipt of the respective report by the Geneva Branch of the United Nations Office for Disarmament Affairs):

Peru (10 May 2017)

Mauritania (9 May 2017)

Slovakia (7 May 2017)

Zambia (5 May 2017)

| States | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
|--|--------|--------|--------|--------------|--------------|--------|--------|
| Afghanistan | | Report | Report | Report | Report | Report | |
| Albania | Report | Report | Report | Report | Report | Report | |
| Andorra | | | | Report | Report | | |
| Antigua and Barbuda | | Report | | | Report | Report | |
| Australia | | | Report | Report | Report | Report | Report |
| Austria | Report | Report | Report | Report Annex | Report | Report | Report |
| Belgium | Report | Report | Report | Report | Report Annex | Report | Report |
| Belize (initial submission due: 28 August 2015) | | | | | | | |
| Bolivia (initial submission due: 30 March 2014) | | | | | | | |
| Bosnia and Herzegovina | Report | Report | Report | Report | Report | Report | |
| Botswana | | Report | | Report | Report | Report | |
| Bulgaria | | Report | Report | Report | Report | Report | |
| Burkina Faso | Report | | Report | | | | |
| Burundi | Report | | | | | | |
| Cameroon | | | | Report | Report | | |
| Canada | Report | Report | Report | Report | Report | Report | |

Initial report and annual reports must be sent to the UN Office for Disarmament Affairs (UNODA), Geneva Branch designated by the UN SG to receive reports on his behalf

Submit reports **electronically** to

ccm@unog.ch



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Thank you