

CCM TRANSPARENCY MEASURES CONCEPT NOTE

Enhancing Implementation of Action 6 of the Dubrovnik Action Plan (Transparency Measures) up to the 8th Meeting of States Parties (8MSP)

1. Background to Work Plan Context

The Convention on Cluster Munitions (CCM) is a legally binding international treaty that comprehensively prohibits the use, production, stockpiling and transfer of cluster munitions. Cluster Munitions are unacceptable for two main reasons: - firstly, they have wide area effects and are unable to distinguish between civilians and combatants and secondly, their use leaves behind large numbers of unexploded ordnance which continue to kill, injure and maim civilians, obstruct economic and social development for years after use.

To guide States Parties effectively implement the Convention during the period 1 January 2016 to 31 December 2020, States agreed to the five year Dubrovnik Action Plan (DAP) at the First Review Conference of States Party to the CCM. The DAP elaborates the priorities established by the States Parties through the various actions to be undertaken and the expected key results to be derived from these actions. Transparency Reporting on implementation of the CCM is the DAP’s Action 6 and will provide the primary basis on which the outlined objectives of this concept note’s activities will be derived.

The purpose of Action 6 of the Dubrovnik Action Plan (DAP) is to encourage States parties to fulfill their reporting obligations in time, both initially and annually; it stipulates the main actions that States have to undertake to make practical use of reporting both at formal and informal fora and that these actions will by the 2nd Review Conference of the Convention in 2020 have resulted in an increase in the use of the reporting template as well as in the rate of timely submissions of Article 7 reports that are of improved quality.
As of 31st October 2017, 18 States Parties have still not submitted their initial transparency reports while 19 States still have to submit their overdue 2016 transparency report. Therefore, a total of 37 reports are still outstanding.

2. **Objectives**

- Increase the number of States Parties to have submitted their initial and annual Article 7 reports by the 8MSP;
- Increase the rate of timely submission of Article 7 annual reports; and
- Facilitate increase in the practical use of reporting

3. **Strategy**

- Identification of target states requiring assistance in report submission (all States with overdue initial transparency reporting)
- Interact through bilateral meetings (in capitals, Geneva and New York in the margins of other disarmament meetings)
- Outreach to heads of disarmament departments in target States as well as national disarmament reporting focal points
- Identify obstacles to timely submission of the reports in target States and propose possible solutions
- Collaborate with national and international civil society to encourage reporting
- Increase awareness of the benefits of reporting through the use of existing social media platforms and other disarmament meetings
- Send reminders for submission of the 2017 reports in advance of 30 April 2018

4. **Expected Outputs**

- At least ten (10) out of eighteen (18) States Parties have submitted their overdue initial report by the 8MSP
- That the timely submission of 2017 annual reports will exhibit a higher reporting rate than that of the 2016 report submission rate
- That the 2017 annual reports will be of a higher quality
- More CCM states have used the annual reports to provide qualitative information and shared best practices

5. **Estimated Budget**

Minimal cost as most support will be via email or telephone and face to face meetings.
6. **Strategy Collaborators**
   - Zambia - Coordinator on Transparency Reporting;
   - All CCM Thematic Coordinators
   - CCM Implementation Support Unit

7. **Other Strategic Partners**
   - Geneva Mission and New York delegations of the identified States
   - National reporting focal points
   - Civil Society
   - Other international stakeholder organisations