



CONVENTION  
ON CLUSTER MUNITIONS

# The Convention on Cluster Munitions Transparency Measures (Article 7)

## Article 7



### **Initial Transparency Report**

Each State Party shall report to the Secretary-General of the United Nations as soon as practicable, and in any event not later than 180 days after the entry into force of this Convention for that State Party, on [...].

### **Annual Transparency Report**

The information provided in accordance with paragraph 1 of this Article shall be updated by the States Parties annually, covering the previous calendar year, and reported to the Secretary-General of the United Nations not later than 30 April of each year.

## Initial reporting: WHY



- ✓ Clarifies for which States Parties which **obligations** are relevant
- ✓ Is only **done once** after ratification and/or accession
- ✓ Is key for establishing the **benchmark** against which progress will be measured
- ✓ Signatory States are also free to submit **voluntary reports** (i.e. DRC)

# Initial reporting: WHAT



States Parties are obliged to provide information on (Art. 7.1):

- ✓ National implementation Measures
- ✓ Stockpiled cluster munitions
- ✓ Technical characteristics of each type of CM
- ✓ Size and location of the contaminated areas
- ✓ Status and progress of programmes for destruction, clearance, victim assistance
- ✓ Measures taken to provide risk education and effective warning
- ✓ Amount of national resources allocated to the implementation of Art. 3,4 and 5
- ✓ The amounts, types and destinations of international cooperation and assistance provided under Art. 6
- ✓ ...

# Transparency reporting: WHEN



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Ratification or  
Accession

Entry into  
force

On the 1st day of  
the sixth month  
after the date on  
which that State has  
deposited its  
instrument of  
ratification /  
accession

Initial Report

As soon as  
practicable, and in  
any event not later  
than 180 days after  
entry into force of  
the Convention

# Standard Reporting form

## Cover page of the annual article 7 report

Name of State [Party]: REPUBLIC OF GHANA

Reporting period: INITIAL REPORT

Form A: National implementation measures:

- changed  
 unchanged (last reporting: yyyy)

Form B: Stockpiles and destruction:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form C: Technical characteristics of each type produced/owned or possessed:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form D: Cluster munitions retained and transferred:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form E: Status of conversion programmes:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form F: Contaminated areas and clearance:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form G: Warning to the population and risk education:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form H: Victim assistance:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form I: National resources and international cooperation and Assistance:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

Form J: Other relevant matters:

- changed  
 unchanged (last reporting: yyyy)  
 non applicable

## Form B Stockpiles and destruction of cluster munitions

Part I: Stockpiled cluster munitions

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (b) The total of all cluster munitions, including explosive submunitions, referred to in paragraph 1 of article 3 of this Convention, to include a breakdown of their type, quantity and, if possible, lot numbers of each type;  
[...]  
(g) Stockpiles of cluster munitions, including explosive submunitions, discovered after reported completion of the programme referred to in subparagraph (e) of this paragraph, and plans for their destruction in accordance with article 3 of this Convention;”

State [Party] REPUBLIC OF GHANA

Reporting for time period from Initial Report

1. The total of all cluster munitions and explosive submunitions, stockpiled under the jurisdiction and control of the State Party

The following table does not include munitions retained for the purpose of training and expertise (in accordance with art. 3, para. 6) reported in form C.

Cluster munition type	Quantity	Lot number (if possible)	Explosive submunition type	Total quantity of explosive submunitions	Lot number (if possible)	Supplementary information
NOT APPLICABLE						Ghana does not stockpile cluster munitions and does not intend to acquire any in the future for

# Annual reporting: WHY



- ✓ Demonstrates individual States Parties' ongoing **efforts to fulfil their obligations**
- ✓ Practical **tool for assistance and cooperation** in particular for Art. 3, 4 and 5
- ✓ Indicator of the **overall health** of the Convention

## Annual reporting: WHAT



- ✓ Each annual Article 7 report shall **cover the last calendar year** (1 January through 31 December)
- ✓ Each annual Article 7 report provides an **update** on progress made on key obligations, remaining **challenges** and international **cooperation** and/or **assistance** needed or provided
- ✓ Annual reports allow for exchange of information on **good practices**
- ✓ Transparency reports are the main official source of information



# Annual reporting: WHEN



CONVENTION  
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Ratification  
or Accession

Entry into  
force

On the 1st day of  
the sixth month  
after the date on  
which that State  
has deposited its  
instrument of  
ratification /  
accession

Initial Report

As soon as  
practicable, and in  
any event not later  
than 180 days after  
entry into force of  
the Convention

Annual  
Report

by no later than 30  
April each year

# Standard Reporting form

## Reporting formats

### for Article 7 of the Convention on Cluster Munitions

#### Cover page<sup>1</sup> of the **annual article 7 report**

Name of State [Party]: The Republic of Botswana

Reporting period: 29 May 2013 to 29 May 2014

(dd/mm/yyyy) 30/04/2014

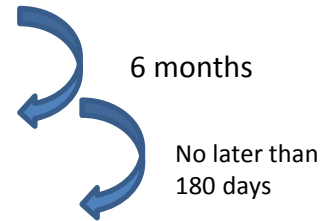
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Form A: National implementation measures: <input type="checkbox"/> changed <b>X unchanged (last reporting: 29 May 2012)</b>	Form F: Contaminated areas and clearance: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) <b>X non applicable</b>
Form B: Stockpiles and destruction: <input type="checkbox"/> changed <b>X unchanged (last reporting: 29 May 2012)</b> <input type="checkbox"/> non applicable	Form G: Warning to the population and risk education: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) <b>X non applicable</b>
Form C: Technical characteristics of each type produced/owned or possessed: <input type="checkbox"/> changed <b>X unchanged (last reporting: 29 May 2012)</b> <input type="checkbox"/> non applicable	Form H: Victim assistance: <input type="checkbox"/> changed <input type="checkbox"/> unchanged (last reporting: yyyy) <b>X non applicable</b>
Form D: Cluster munitions retained and transferred: <input type="checkbox"/> changed <b>X unchanged (last reporting: 29 May 2012)</b> <input type="checkbox"/> non applicable	Form I: National resources and international cooperation and Assistance: <input type="checkbox"/> changed <b>X unchanged (last reporting: 29 May 2012)</b> <input type="checkbox"/> non applicable
Form E: Status of conversion programmes: <input type="checkbox"/> changed	Form J: Other relevant matters: <input type="checkbox"/> changed

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# Transparency reporting: Example

GHANA	
Ratification	3 February 2011
Entry into force	3 August 2011
Initial Reporting due	February 2012
Initial Reporting submitted	April 2012
Use Standard Reporting Format	Reported particularly on legislation
Annual updated reporting	Prior to 30 April 2013
Use Standard Reporting Format	Updates on legislation



# Transparency reporting: HOW



- ✓ Ask for help
- ✓ The **Implementation Support Unit** is mandated to support States Parties
- ✓ We can enable you to make transparency reporting **quick and easy**
- ✓ **Article 7 database** (<http://www.unog.ch/>)

# Transparency reporting: TO WHOM



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## Article 7 database

This page contains the database of Article 7 reports.

Article 7 of the Convention on Cluster Munitions provides that the information contained in the report should cover the previous calendar year and be reported to the Secretary-General of the United Nations not later than 30 April of the following year.

The year indicated on top of the column represents the year of submission to the United Nations Secretary-General.

**Reports recently submitted** (note: the date in brackets indicates the date of receipt of the respective report by the Geneva Branch of the United Nations Office for Disarmament Affairs):

**Peru** (14 July 2016).

States	2011	2012	2013	2014	2015	2016
Afghanistan						
Albania						
Andorra						
Antigua and Barbuda						
Australia						
Austria				 Annex		
Belgium						
Belize (initial submission due: 28 August 2015)						
Bolivia (initial submission due: 30 March 2014)						
Bosnia and Herzegovina						
Botswana						
Bulgaria						
Burkina Faso						
Burundi						
Cameroon						
Canada						
Cape Verde (initial submission due: 28 September 2011)						

**Initial report and annual reports** must be sent to the UN Office for Disarmament Affairs (UNODA), Geneva Branch designated by the UN SG to receive reports on his behalf

Submit reports **electronically** to

[ccm@unog.ch](mailto:ccm@unog.ch)



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**Thank you**